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Practitioner's Docket No. ARC 2427 N1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kleiner, Lothar

Application No.: 09/976,228

Group No.: 1616

Filed: 10/12/2001

Examiner: Unknown

For: RATE CONTROLLING MEMBRANES FOR CONTROLLED DRUG DELIVERY DEVICES

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL523935124US

Date of Deposit 05/13/2002

I hereby state that the following *attached* paper or fee

Preliminary Amendment – 9 pp.

Marked-up Claims – 10 pp.

Clean Claims – 9 pp.

Amendment Transmittal – 3 pp.

Acknowledgement Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Katrina M. Ghafghaichi

Katrina M. Ghafghaichi
Signature of person mailing paper or fee

Practitioner's Docket No. ARC 2427 N1



1665
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AMENDMENT TRANSMITTAL

- Transmitted herewith is an amendment for this application.

STATUS

- Applicant is other than a small entity.

EXTENSION OF TERM

- The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.
- Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- transmitted by facsimile to the Patent and Trademark Office.

Date: _____

Signature

(type or print name of person certifying)

05/20/2002 ZJUHAR1 00000101 011173 09976228

01 FC:102 1428.00 CH
02 FC:103 540.00 CH

(Amendment Transmittal--page 1 of 5)

FEE FOR CLAIMS

5. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col.1)	(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY		
Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra			Addit. Fee
Total	50	Minus	20	= 30	x \$18 = \$540
Indep.	20	Minus	3	= 17	x \$80 = \$1,360
First Presentation of Multiple Dependent Claim				+ \$270 =	\$0
			Total		
			Addit. Fee		<u>\$1,900</u>

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
 - ** If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 1) is less than 20, enter "20".
 - *** If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 2) is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

Total additional fee for claims required \$1,900.00

FEE PAYMENT

6. Charge Account No. 01-1173 the sum of \$1,900.00. A duplicate of this transmittal is attached.

FEE DEFICIENCY

7. If any additional extension and/or fee is required, charge Account No. 01-1173.
If any additional fee for claims is required, charge Account No. 01-1173.

Date: May 13, 2002

Pauline Ann Clarke

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